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(54) Title: CELLULOSIC PARTICLES SUITABLE FOR CHIRAL SEPARATION

(57) Abstract: In a method of producing spherical derivatized cellulosic particles suitable for chiral separation a solution of amor-  
phous cellulose having free hydroxy groups is first prepared from crystalline cellulose in a first step and spherical porous matrix  
particles are then manufactured from the solution of amorphous cellulose under high shear stress conditions in a second step. The  
hydroxy groups are derivatized before or after the second step.

WO 03/004534 A1

## CELLULOSIC PARTICLES SUITABLE FOR CHIRAL SEPARATION

The present invention refers to a method of producing  
5 cellulose particles suitable for chiral separation as well  
as the use of such particles.

A large number of biologically active substances,  
such as drugs, herbicides, pheromones, and insecticides,  
exist as two optical isomers of different specificity  
10 (enantiomers). These chiral molecules do not have a plane  
of symmetry and are therefore not superposable on their  
mirror image. The synthesis of these compounds by means of  
conventional methods results in a racemic mixture, i.e.  
substantially equal amounts of both enantiomers.

15 However, the enantiomers of a drug normally have dif-  
ferent therapeutical effects since they exhibit differences  
in pharmacokinetics, pharmacodynamics as well as tox-  
icology. Frequently, only one of the enantiomers in a ra-  
cemic mixture exhibits the desired biological activity. The  
20 other enantiomer may lack this activity or may even cause  
severe side-effects. A well-known example is the admin-  
istration of Neurosedine, whereby one of the enantiomers of  
the drug was responsible for the surprising side-effects at  
that time. Thus, in order to achieve an optimal therapeutic  
25 effect with a minimum of undesired side-effects only one of  
the enantiomers should be administered.

Consequently, authorities now demand that prior to  
registration both enantiomers of a new drug must be tested  
individually with reference to their pharmaceutical activ-  
30 ity.

Also, from environmental point of view it is of great  
importance that herbicides as well as other kinds of bio-  
cides have an optimal enantiomer composition and that there  
exist analytical methods for following the transportation  
35 and biodegradation of these substances at different levels  
of an ecological system.

In order to separate an enantiomer from a mixture with optical resolution several methods have been attempted, recrystallization of diastomeric salts, membrane separation and enzymatic degradation. However, these  
5 methods are limited to a few specific compounds.

Lately, a separation of enantiomers can be accomplished with chromatographic methods by using chiral stationary phases. However, many different kind of chiral stationary phases are employed, which primarily is due to the  
10 relative narrow application window of each of these phases. Furthermore, the majority of the phases are expensive to use in a preparative scale.

A chiral stationary phase is normally prepared by immobilization a chiral selector, for instance a pure enantiomer, to a supporting particle. The particles are packed  
15 in a column of glass or steel, which is connected to chromatographic equipment. The most frequently used selectors are different kinds of proteins and derivatized carbohydrates.

Such a carbohydrate is crystalline cellulose. The morphology of cellulose has been found to be of great importance in the chiral separation mechanisms (Hesse and Hagel, *Chromatographia* 9:62, 1976). This has resulted in the development of microcrystalline triacetylcellulose  
20 (Isaksson et al., *J. Chromatogr.* 498:257, 1990) as well as crystalline triacetylcellulose II (Shibata et al., *J. Liq. Chromatogr.* 9:313, 1986) chiral stationary phases in chromatography.

In this connection the term crystalline cellulose  
30 comprises any crystalline form of cellulose including liquid crystalline cellulose as well as native fibrous cellulose. Microcrystalline cellulose triacetate, prepared by heterogenous acetylation of native cellulose, has a crystalline structure different from triacetate recovered  
35 from solution (Okamoto et al., *Chemistry Letters* (1984)

pp 739-744). These unlike crystal structures of the tri-acetates seem responsible for the reversed elution order of Troegers base. (Chanzy and Roche, J. Pol. Sci. Polym. Phys. Ed. 12:1117, 1974; *ibid* 13:1859, 1975). Thus, the  
5 crystallinity of the cellulosic material has up to now been a prerequisite of a successful enantiomer separation.

Irregular particles of pure micro crystalline cellulose with derivatives thereon have been used as a chiral stationary phase in the separation enantiomers. In  
10 US 4,818,394 a useful chiral phase is shown, which comprises a crystalline cellulose derivative adsorbed or immobilized to a silica particle. The particles are obtained by adding a solution of a cellulose derivative to a suspension of silica particles with large pores. After  
15 evaporation and rinsing the particles are used as chiral stationary phases. However, columns containing these silica particles are expensive and the particles of large pore-sizes have a relatively short useful life.

In US 5,656,158 spherical particles (beads) of derivatized and regenerated crystalline cellulose have been  
20 prepared. Difficulties concerning the preparation of the derivatized particles are discussed, but no chromatographic use of any chiral phase is reported.

The purpose of the invention is to achieve a method  
25 of producing spherical cellulosic particles, whereby the above-mentioned problems are eliminated, which method makes possible to prepare derivatized macroporous microbeads for chromatographic separations of specific compounds and specifically for the separation of chiral compounds.

Another purpose of the invention is to achieve a  
30 method of producing spherical cellulosic particles, whereby the purity of starting materials, products, and different kinds of pharmaceutical preparations can be determined. Furthermore, the inventive method results in that  
35 enantiomers in biological fluids can be effectively and

quantitatively analyzed. It is also possible to more thoroughly characterize the biological effects of enantiomers in biological systems and to accomplish preparative chromatographic baseline separations of enantiomers of both enantiomers for biological tests. Enantiomeric metabolites can be isolated from complicated biological matrices, such as urine and tissue.

In order to achieve these purposes, the method according to the invention has been given the characterizing features of claim 1.

The inventive method concerns the production of derivatized spherical cellulosic particles suitable for chiral separation, the steps of which comprises the preparation from crystalline cellulose of a solution of amorphous cellulose having free hydroxy groups, and then manufacturing spherical porous matrix particles of amorphous cellulose from this solution under high shear stress conditions. The hydroxy groups can be derivatized before or after the manufacturing of the particles by means of conventional techniques.

The invention also concerns the use of porous matrix particles of derivatized amorphous cellulose as a separating agent for a chemical substance. Suitable particles are spherical particles produced according to the inventive method.

In this connection matrix particles are rigid porous spheres having a random pore network. The physical structure of matrix particles can range from dense to highly porous. The molecular and macroscopic properties of the particles can be tailored to exclude specific geometric and morphological structures and to encompass specific functional requirements.

The solution of amorphous cellulose is prepared by dissolving the crystalline cellulose in a reactive solvent. In this connection the term crystalline cellulose includes

crystalline and fibrous cellulose, and the term reactive solvent refers to any solvent having the capacity of transforming crystalline cellulose to amorphous cellulose.

Examples of suitable reactive solvents are copper ammonium hydroxide, quaternary ammonium hydroxide, a transition metal complex, and lithium chloride in dimethylacetamide. Preferably, the reactive solvent is lithium chloride in N,N-dimethylacetamide (DEMAC). In this case the concentration of lithium chloride is up to 15 weight%.

It is also preferred that swelling the crystalline cellulose in a hydrophilic solvent precedes the dissolution of the crystalline cellulose. The hydrophilic solvent can be water, methanol, or a mixture thereof. The hydrophilic solvent is subsequently removed from the swelled cellulose.

Spherical particles are then manufactured from the regenerated amorphous cellulose by means of any suitable technique for the preparation of beads, preferably with an internal pore structure. It is appropriate to manufacture porous particles by forming individual spherical droplets of the solution of amorphous cellulose by means of a mechanical disintegration.

Amorphous cellulose, prepared as described above, is allowed to exipate upon a rotating disc, on which the solution of amorphous cellulose is exposed to high shear stress conditions. These conditions ensure that no reversion to crystalline cellulose will take place during the manufacturing of porous matrix particles.

The shear stress effecting exponent  $m$  can be determined according to the equation  $\tau = k \dot{\gamma}^m$  as described in Wikström et al. (J. Food Science, vol 59(5), 1994, pp.1077-1080). At 4 200 rpm and a temperature of 33 °C a cellulose solution according to the invention exhibits a  $m$  value of 0.97. Consequently, this cellulose solution behaves as a Newtonian fluid and does thus not contain any crystalline

material. It retains its structure of low order during the  
expiation and drop formation.

Spherical droplets are captured in a hydrophilic  
solvent, from which they are harvested. The hydrophilic  
5 solvent can be water and/or methanol, and is preferably  
water.

Preferably, mechanical disintegration is performed by  
means of centrifugal action from a rotating disk. Suitable  
spinning disk techniques are shown in US 4,978069 and in  
10 the Swedish patent application No 9904345-7. In this way  
amorphous, porous, and spherical particles in the range  
from 20 to 200  $\mu\text{m}$  can be produced. Comparative measurements  
by means of NMR of fibrous microcrystalline cellulose as  
well as cellulose particles produced according to the  
15 invention reveals that the inventive spherical porous  
matrix particles consists of completely disordered cellulose  
only.

By assuring that thoroughly homogenous porous  
particles without any crystallinity in the cellulose matrix  
20 a more efficient enantiomer separation can be obtained.  
Large quantities of non-crystalline cellulose particles  
with a very narrow size distribution can be prepared at low  
costs. Almost mono disperse particles are obtained which  
possess excellent chromatographic performance.

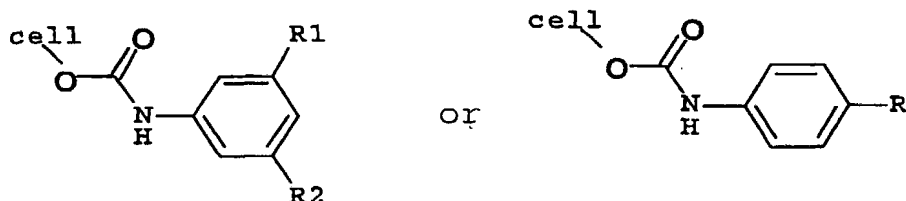
25 The free hydroxy groups of the amorphous cellulose  
can be subsequently derivatized by suspending the porous  
particles in a hydrophobic solvent and adding a derivit-  
izing agent. Of course, the derivitizing can be accom-  
plished prior to the manufacturing of the porous particles.  
30 However, a derivatization of the particles is preferred  
since simple and straightforward synthetic methods can be  
used without the chromatographic performance being im-  
paired.

Suitable hydrophobic solvents are hexane, heptane ,  
35 octane, toluene, benzene, xylene, nitro-benzene, chloro-

benzene, quinoline, and pyridine. Preferably, hexane, heptane, octane, toluene, or xylene is used.

The hydroxy groups of cellulose are in the derivatization of the particles converted to ethers, esters, or carbamates by synthetic procedures well-known within the art. Preferably, the hydroxy groups of cellulose are derivatized by means of etherification to a cellulose phenylcarbamate. Such a cellulose phenylcarbamate has the structure:

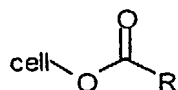
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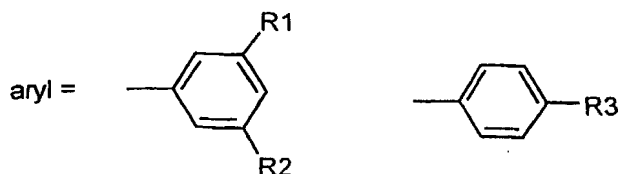
In these structures R, R<sub>1</sub> and R<sub>2</sub> are independently hydrogen, halide, alkyl, alkenyl, alkynyl, aryl, haloalkyl, nitro, formyl, acyl, hydroxyalkyl, alkoxy, hydroxyalkoxy, hydroxyalkenyl, hydroxyalkynyl, carboxy, carboxyalkyl, carboxyamide, carboxyamidealkyl, amino, aminoalkyl, or isocyanate. Alternatively, the free hydroxy groups of the amorphous cellulose are derivatized by means of esterification to a cellulose ester. The structure obtained is given below with the aryl group further explained.

20

Cellulose ester



R = alkyl or aryl





In the aryl groups R1, R2, and R3 are independently hydrogen, halide, alkyl, alkenyl, alkynyl, aryl, haloalkyl, nitro, formyl, acyl, hydroxyalkyl, alkoxy, hydroxyalkoxy, hydroxyalkenyl, hydroxyalkynyl, carboxy, carboxyalkyl, carboxyamide, carboxyamidealkyl, amino, aminoalkyl, or other.

It is preferred to form a three dimensional cross-linked structure within porous particles of amorphous cellulose in order to improve the performance in chiral separation. The cross-linking of the porous particles can take place by adding a cross-linking agent to the hydrophobic solvent before or after the derivatisation of the hydroxy groups of the amorphous cellulose. Preferably, the hydrophobic solvent then contains at least one hydrophilic additive.

The cross-linking agent can be an alkylphenyl-diisocyanate, a dialdehyde, an aliphatic diacid, or an aromatic diacid. The cross-linking of said porous particles is preferably performed within a degree from 5 % to 10 %.

Particles of amorphous cellulose produced according to the inventive method can be used as a separating agent for a chemical substance. The porous particles are especially adapted to be used as an isomer separating agent in chromatography, especially in various fields of life sciences, in which there is a great need of accurate, fast and cheap procedures of separation of structurally related substances as enantiomers. The particles are also suitable for preparative separations of enantiomers of drug substances and other large scale applications.

A special advantage of particles produced according to the invention is that the chromatography can be performed with a hydrophobic mobile phase. Suitable hydrophobic eluents are alkanes, alcohols, amines, or mixtures thereof. Mixtures of such hydrophobic mobile phases can be

produced, which improve the racemic resolution of the chemical substance.

#### EXAMPLES

5

*Example 1.* Preparation of chiral stationary phases.

Solubilized cellulose was prepared suspending fibrous cellulose in water, and the suspension was allowed to stand overnight. Then the cellulose was successively rinsed for 1  
10 h with water, methanol, and DEMAC, and finally allowed to dry under suction on the same glass filter which was used for the washing procedures.

The so rinsed and dried cellulose material was dissolved in DEMAC containing less than 10 wt% lithium chloride, whereby a homogenous solution can be obtained to a  
15 concentration up to 10%.

Particles were then produced by dropping the cellulose solution in water and/or methanol. This exposure exchanges the DEMAC of the particles with water or methanol, whereby the cellulose drops will gel and generate  
20 spherical amorphous porous matrix particles. By utilizing the above-mentioned spinning disk technique for the mechanical disintegration of the cellulose solution spherical particles in the range from 20-500 microns can be produced.

By these procedures large quantities of particles can be prepared, which have a very narrow particle size distribution of almost monodispersive particles and exhibit excellent chromatographic performance.

30 *Example 2.* Heterogen phase synthesis.

The particles produced as above are in heterogen phase synthesis reacted with anhydrides or aromatic isocyanates using an organic medium to give the corresponding esters or carbamates. These methods are classical and well  
35 known within the art.

After washing and eventual exchange of solvent the beads can be used in chromatography.

*Example 3. Chromatography.*

A column of particles produced according to the invention was prepared and packed by using a conventional  
5 slurry technique. The column (200 x 10 mm; length x inner diameter) was first eluted with several column volumes of isopropanol and then with the mobile phase to be used in order to obtain chiral separation of optical isomers of different specificity. Enantiomeric separation was per-  
10 formed on an acidic (naproxen) as well as a basic drug (propranolol).

A standard chromatographic equipment with UV detection was used. The mobile phase comprised of a mixture of n-hexane and isopropanol. The samples were introduced to  
15 the column by means of a Reodyne injector equipped with a 200 µl loop. The eluent was monitored at 280 nm at a flow rate of 1-3 ml/min. The resolution (Rs) of the separation was calculated according to standard methods.

20 *Enantiomeric separation of naproxen*

Mobile phase: n-hexane/isopropanol (99/1; vol/vol)

Flow rate: 2 ml/min

25

UV: 280 nm

Rs: 1.5 (base line separation)

30 *Enantiomeric separation of propranolol (a  $\beta$ -blocker)*

Mobile phase: n-hexane/isopropanol (99/1; vol/vol)

Flow rate: 2 ml/min

35

UV: 280 nm

Rs: 1.5 (base line separation)

40

*Example 4. Pre-manufacturing synthesis.*

A batch of porous spherical particles was produced according to the invention by means of dissolving micro-crystalline cellulose after the fibrous (crystalline) cellulose had been completely substituted with phenyl-  
5 carbamate. The so derivatized cellulose was first dissolved in DEMAC to 2-6% and is then disintegrated into spherical particles by using the same rotating disc technique as described above. The particles were caught in water.

After sieving and removal of excess water the  
10 particles were sequentially subjected to a solvent change via methanol to hexan/iso propyl alcohol as described above. The particle mean size was 35  $\mu\text{m}$ .

A column (5 mm in diameter and 200 mm long) was packed with a 50% gel slurry and the gel bed settles under  
15 a flow of 0.5 ml/min. Enantopmeric separations were performed as above (paper speed = 0.1 mm/min; A = 0.025; sample volume = 25  $\mu\text{l}$ ) and the results obtained resemble closely those from separations obtained with *post-manu-*  
facturing substitution.

20

## CLAIMS

1. A method of producing derivatized cellulosic particles suitable for chiral separation, c h a r a c -  
5 t e r i z e d in that a solution of amorphous cellulose having free hydroxy groups is first prepared from crystalline cellulose in a first step and spherical porous matrix particles are then manufactured from said solution of amorphous cellulose under high shear stress conditions  
10 in a second step, said hydroxy groups being derivatized before or after said second step.
2. The method as in claim 1, c h a r a c t e r -  
i z e d in that it further comprises cross-linking said spherical porous particles before or after said deriva-  
15 tization of said hydroxy groups.
3. The method as in claim 1, c h a r a c t e r -  
i z e d in that said solution of amorphous cellulose is prepared by dissolving said crystalline cellulose in a re-  
active solvent.
- 20 4. The method as in claim 3, c h a r a c t e r -  
i z e d in that said reactive solvent is copper ammonium hydroxide, quaternary ammonium hydroxide, a transition metal complex, or lithium chloride in dimethylacetamide.
5. The method as in claim 4, c h a r a c t e r -  
25 i z e d in that said reactive solvent is lithium chloride in dimethylacetamide.
6. The method as in claim 5, c h a r a c t e r -  
i z e d in that said lithium chloride in dimethylacetamide has a concentration of up to 15 weight%.
- 30 7. The method as in claim 3, c h a r a c t e r -  
i z e d in that said dissolution of said crystalline cellulose is preceded by swelling said crystalline cellulose in a hydrophilic solvent and removing said hydrophilic solvent from said swelled cellulose.
- 35 8. The method as in any of claims 1-7, c h a r -  
a c t e r i z e d in that said spherical porous particles

are manufactured by forming individual spherical droplets of said solution of amorphous cellulose by means of mechanical disintegration of the same under high shear stress conditions, said spherical droplets being captured in a hydrophilic solvent.

9. The method as in claim 7 or 8, characterized in that said hydrophilic solvent is water and/or methanol.

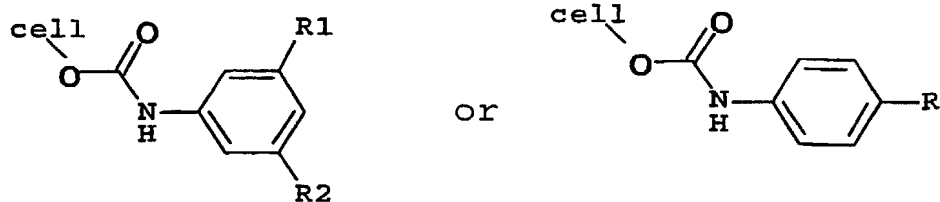
10. The method as in claim 9, characterized in that said mechanical disintegration is performed by means of centrifugal action from a spinning disk.

11. The method as in claim 1, characterized in that said hydroxy groups of said amorphous cellulose are derivatized in a hydrophobic solvent by adding a derivitizing agent to the same.

12. The method as in claim 11, characterized in that said hydroxy groups of cellulose are by means of synthetic procedures converted to ethers, esters, or carbamates.

13. The method as in claim 12, characterized in that said hydroxy groups are derivatized by means of etherification to a cellulose phenylcarbamate.

14. The method as in claim 13 characterized in that said cellulose phenylcarbamate has the structure

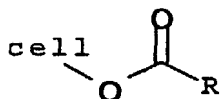


wherein R, R<sub>1</sub> and R<sub>2</sub> are independently hydrogen, halide, alkyl, alkenyl, alkynyl, aryl, haloalkyl, nitro, formyl, acyl, hydroxyalkyl, alkoxy, hydroxyalkoxy, hydroxyalkenyl,

hydroxyalkynyl, carboxy, carboxyalkyl, carboxyamide, carboxyamidealkyl, amino, aminoalkyl, or isocyanate.

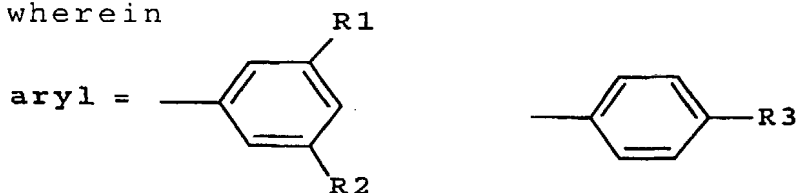
15. The method as in claim 12, c h a r a c t e r -  
i z e d in that said hydroxy groups are derivatized by  
5 means of esterification to a cellulose ester.

16. The method as in claim 15 c h a r a c t e r -  
i z e d in that said cellulose ester has the structure



R = alkyl or aryl,

wherein



10 wherein R1, R2, and R3 are independently hydrogen, halide, alkyl, alkenyl, alkynyl, aryl, haloalkyl, nitro, formyl, acyl, hydroxyalkyl, alkoxy, hydroxyalkoxy, hydroxyalkenyl, hydroxyalkynyl, carboxy, carboxyalkyl, carboxyamide, carboxyamidealkyl, amino, aminoalkyl, or other.

15 17. The method as in claim 2 and 11, c h a r a c -  
t e r i z e d in that said cross-linking of said  
spherical porous particles is performed by suspending the  
same in a hydrophobic solvent and by adding a cross-linking  
agent to the same.

20 18. The method as in claim 17, c h a r a c t e r -  
i z e d in that said cross-linking agent is an alkyl-  
phenyldiisocyanate, a dialdehyde, an aliphatic diacid, or  
an aromatic diacid.

19. The method as in claim 17 or 18, c h a r a c -  
t e r i z e d in that said cross-linking of said  
spherical porous particles is performed from 5 % to 10 %.

20. The method as in any of claim 11-19, c h a r -  
5 a c t e r i z e d in that said hydrophobic solvent is a  
aromatic or aliphatic solvent which contains at least one  
hydrophilic additive.

21. Cellulosic particles produced according to any of  
claims 1-20.

10 22. Use of porous matrix particles of derivatized  
amorphous cellulose as a separating agent for a chemical  
substance.

23. Use as in claim 22, c h a r a c t e r i z e d  
in that said particles of derivatized amorphous cellulose  
15 are used as an isomer separating agent in chromatography.

24. Use as in claim 23, c h a r a c t e r i z e d  
in that said chromatography is performed with a hydrophobic  
eluent as a mobile phase.

25. Use as in claim 24, c h a r a c t e r i z e d  
20 in that said hydrophobic mobile eluent is an alkane, an  
alcohol, or an amine, or a mixture thereof.

26. Use as in claim 25, c h a r a c t e r i z e d  
in that said mixture of said hydrophobic eluents is used in  
order to improve the racemic resolution of said chemical  
25 substance.



## INTERNATIONAL SEARCH REPORT

International application No.

PCT/SE 02/01310

## A. CLASSIFICATION OF SUBJECT MATTER

IPC7: C08B 15/00, B01J 2/02, B01J 20/30, G01N 30/48 // C08J 3/14, C08J 9/00  
According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC7: C08B, B01J, G01N

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

SE,DK,FI,NO classes as above

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

EPO-INTERNAL, WPI DATA, PAJ

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	PATENT ABSTRACTS OF JAPAN & jp 08-283457 A (CHISSO CORP), 29 October 1996 (1996-10-29) abstract	22
A	--	1-21, 23-26
A	US 5066793 A (ERIC FRANCOETTE ET AL), 19 November 1991 (19.11.91), column 1, line 56 - line 68; column 4, line 52 - line 65, abstract	1-26
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☒ Further documents are listed in the continuation of Box C.

☒ See patent family annex.

\* Special categories of cited documents:

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## INTERNATIONAL SEARCH REPORT

International application No.

PCT/SE 02/01310

## C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	EP 0527236 A1 (DAICEL CHEMICAL INDUSTRIES, LTD.), 17 February 1993 (17.02.93), page 2, line 19 - line 38; page 3, line 23 - line 29, abstract, claims --	1-26
A	EP 0121776 A1 (DAICEL CHEMICAL INDUSTRIES CO., LTD.), 17 October 1984 (17.10.84), page 2, line 31 - page 3, line 13, abstract --	1-26
A	US 5656158 A (JOHN W. RUSSELL), 12 August 1997 (12.08.97), column 1, line 29 - line 61, abstract --	1-26
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